

# Mild Prenatal Stress Exposure Contributed to Behavioral Changes Induced by Postnatal Injections and Blocked The Effects of Olanzapine\*

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**Abstract** Exposure of pregnant females to strong prenatal stress generally induces psychotic-like behavioral impairments in their offspring. In contrast to strong stress exposure, mild prenatal stress exposure (MPSE) has been reported to increase the vulnerability of the nervous system to adverse environmental stimuli. However, the impacts of MPSE on treatment with antipsychotic medication have not been well investigated. In addition, although commonly utilized in animal experiments, the potential influences of injections per se on animal behavior have not been evaluated. Here, we investigated how MPSE, postnatal injections and olanzapine (OLZ) treatment might interact to affect the behavior of rats. Pregnant female rats were exposed to mild stress or left undisturbed during the last week of gestation. Their offspring were divided into three sub-groups and subjected to injections with saline or OLZ (2 mg/kg) on postnatal days (PDs) 7, 9 and 11 or were left undisturbed without injection. Social and olfactory discrimination tests were performed during adolescent (PD 35) and adult (PD 60) periods. Total exploratory time and the degree of preference in the discrimination tests were measured. We found that postnatal injections changed the degree of preference in adolescent prenatally stressed rats but had no effect on the degree of preference in the non-stressed rats. OLZ treatment increased the social exploratory time in the non-stressed rats during the adolescent and adult periods. However, these enhancing effects were diminished in the prenatally stressed rats. Our results indicate that MPSE could contribute to the behavioral changes induced by adverse stimuli such as postnatal injections and could reduce the treatment effects of antipsychotic medication.

**Key words** mild prenatal stress exposure, postnatal injection, olanzapine treatment, social discrimination test, olfactory discrimination test

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In mammals, the process of neurodevelopment during the fetal period plays an important role in the generation of normal developmental and functional brain abilities. Many previous studies have indicated that prenatal exposure to stress is associated with an increased incidence of mental disorders such as schizophrenia and bipolar disorder later in life<sup>[1]</sup>. In rodents, prenatal stress exposure can also lead to behavioral disruption and to alterations of neurochemical levels in adult offspring that mimic various symptoms of schizophrenic patients<sup>[2-3]</sup>.

Exposure of pregnant females to mild prenatal stress (MPSE) generally does not induce spontaneous behavioral impairments in the offspring<sup>[4]</sup>. However, previous studies have shown that MPSE increases the

release of corticotropin-releasing hormone in offspring when they are confronted with environmental stimuli such as postnatal handling<sup>[5]</sup>. MPSE also leads to increased freezing behavior in response to foot shock

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in adult offspring<sup>[6-7]</sup>. However, few studies of the potential long-term impacts of MPSE on animals' resistance to adverse postnatal stimuli have been conducted.

Many experiment manipulations may unexpectedly introduce adverse stimuli to animals at postnatal stages. For example, repeated injections can constitute a strong harmful stimulus to pups during the postnatal period. It may be logical to speculate that repeated injections during the postnatal period may lead to behavioral changes in rats exposed to mild prenatal stress. However, no studies that explicitly address these issues have been performed.

In addition to adverse postnatal stimuli, the potential effects of beneficial postnatal stimuli to the function of nervous system should also be considered. For example, olanzapine (OLZ) is an antipsychotic drug used as both a clinical treatment and in experimental studies of mental disorders such as schizophrenia<sup>[8]</sup>. The administration of OLZ ameliorates the hippocampal neuronal loss triggered by kainic acid administration in rats, suggesting that the treatment of olanzapine has neuro-protective effects<sup>[9]</sup>. OLZ treatment also reverses the pre-pulse inhibition (PPI) deficits in rats subjected to neonatal ventral hippocampal (NVH) lesions<sup>[10]</sup>. In clinical research, OLZ treatment effectively improves symptoms in schizophrenic patients, including the negative symptoms associated with social behaviors<sup>[11-12]</sup>. However, the potential impacts of MPSE on the effects of OLZ treatment have not been well investigated.

Because rodents are social animals, appropriate social behaviors are important in their lives. In addition, because rodents heavily rely on their sense of smell, olfactory information plays an essential role in regulating their behavior<sup>[13]</sup>. In accordance with the statement above, we planned to explore whether MPSE contributes to the social and olfactory behavioral changes induced by repeated postnatal injections as well as the potential effects of OLZ treatment on behavior.

## 1 Materials and methods

### 1.1 Animals and drugs

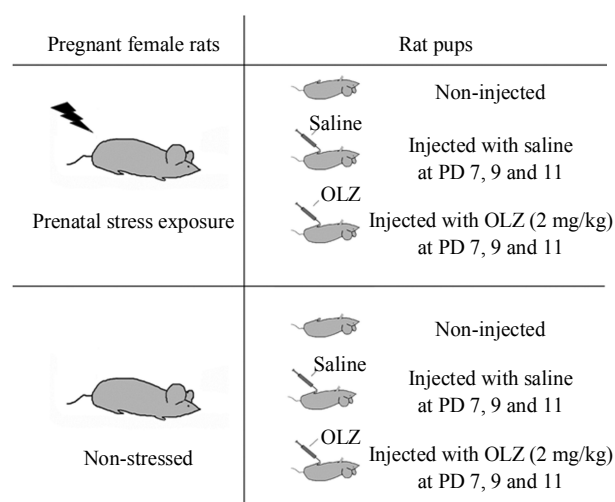
All Sprague-Dawley rats and pups were purchased from Vital River (Beijing, China) and housed under a controlled 12 h light - 12 h dark cycle (9 : 00 ~ 21 : 00) with suitable temperature and humidity. The experiments were approved by the

Animal Experimental Committee, Kunming Institute of Zoology, Chinese Academy of Sciences and were consistent with the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals (NIH Guidelines). Olanzapine (Eli Lilly, Indianapolis, USA) was dissolved in saline.

### 1.2 Prenatal stress paradigm and postnatal injections

Six pregnant female rats were exposed to a stress paradigm during the last week of gestation. The stressors were applied in a random manner and included restraint in a cylindrical plastic restrainer for one hour, exposure to a cold environment for 6 h, food deprivation for one night, forced swimming for 15 min and reversal of the light-dark cycle. The intensity of the stressors was controlled to avoid obvious impacts on the cognitive and behavioral abilities of the offspring.

On postnatal day (PD) 7, 9 and 11, the male pups from the saline-injected and OLZ-injected groups were intraperitoneally injected (i.p.) with saline or OLZ (2 mg/kg). The rat pups from the non-injected group remained in their home cages (Figure 1). On PD 21, male pups were weaned and housed with four rats per cage based on stress exposure and injections received. The behavioral tests for male offspring were performed in the adolescent (around PD 35) and adult (after PD 56) periods.



**Fig. 1 Schematic diagram of the groups subjected to prenatal stress exposure and postnatal injection**

Pregnant female rats were exposed to stress or left undisturbed during gestation. Their offspring were divided into three groups and were treated with saline injection or OLZ injection (2 mg/kg, i.p.) or were left undisturbed without injection. Their social and olfactory behaviors were tested during adolescent (5 weeks) and adult (> 8 weeks) stages.

1.3 Social discrimination test

The social novelty discrimination test was performed in a 60 cm × 60 cm × 40 cm open-field chamber with a black acrylic floor and walls. The rats were first habituated to the test environment (15 min, twice per day) for three consecutive days. On the test day, for each experimental rat, two same-sex, unfamiliar rats, specified as "familiar rat" and "novel rat", were prepared and colored with different flavorless pigments on their backs. When the test began, the experimental rat and the "familiar rat" were placed in the open field and allowed to interact with each other for 30 min. Then, the "novel rat" was placed in the open field for another 3 min, and the social behaviors of the rats were recorded by a camera located above the chamber. The durations of the investigative behaviors (including sniffing, grooming and close following) displayed by the experimental rat that were directed toward the "familiar rat" and the "novel rat" were analyzed manually using a stopwatch.

1.4 Olfactory discrimination test

The social novelty discrimination test was performed in the rat's home cage. The rats were first habituated to the test environment for three consecutive days (5 min, twice per day). When the test began, two small bottles with cotton that contained an odor-emitting liquid were clipped onto the lid of the cage, allowing the rats to smell the odors. For the first three trials, the cotton balls contained the same liquid. The exploratory time and intertrial interval were each 60 s. In the fourth trial, one piece of cotton contained the same liquid, and the other one contained a new liquid that the rat had not been exposed to. The olfactory behaviors of the rats were recorded by a camera during the fourth trial. The durations of the investigative behaviors displayed by the rats that were directed toward each bottle were analyzed manually using a stopwatch.

2 Results

2.1 Postnatal injections changed the degree of preference in social and olfactory behaviors in the stressed group but not in the non-stressed group

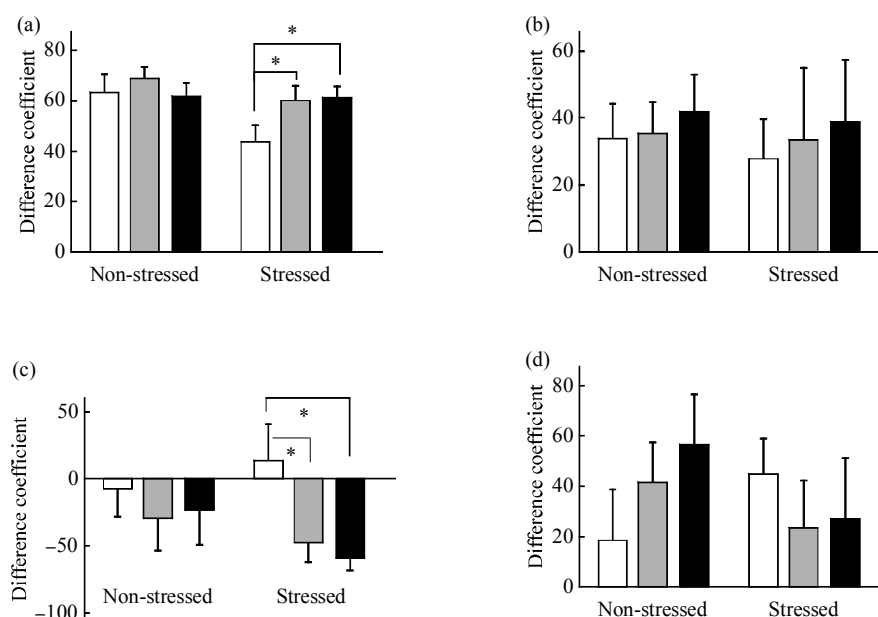
When confronted with a new environmental situation, the degree of preference to novel stimulation plays an important role in guiding animals' behavior. In an experimental environment, the degree of preference can be quantified by difference coefficients in the social and olfactory discrimination tests. To test the potential effects of postnatal injections and postnatal OLZ treatment (2 mg/kg, i.p.) on the degree of preference in rats prenatally exposed to mild stress, the social and olfactory discrimination tests were performed during the adolescent and adult periods, and the behavior of the rats was recorded (Table 1). The difference coefficient was calculated as (Time spent investigating the novel stimulus – Time spent investigating the familiar stimulus)/Total investigation time × 100. For rats without MPSE (the non-stressed group), neither postnatal injections nor OLZ treatment affected the difference coefficient of social and olfactory behaviors during the adolescent or adult stages (Figure 2a~d). These results indicate a normal stability of the nervous system when confronted with adverse environmental stimuli throughout development. However, during the adolescent period, both postnatal injections [*n* =11, *P* =0.045] and OLZ treatment [*n* =10, *P* =0.033] significantly increased the difference coefficient for social behavior in rats prenatally exposed to mild stress (the stressed group) compared to the difference coefficient of the controls (*n* =8, Figure 2a). Because OLZ was also administered *via* injection, we could speculate that the key factor affecting the difference coefficient was the injections themselves. During the adult stage, the effects of the injections were diminished in both the non-stressed and prenatally stressed groups. The difference coefficients did not differ between the controls (*n* =10, Figure 2b) and the groups injected with saline (*n* =4) or OLZ (*n* =6).

Table 1 The types of behavioral tests and the calculation methods of the indicators investigated

Social exploration		Olfactory exploration
Preference in social or olfactory behavior	$\frac{\text{Time spent for novel rat}-\text{Time spent for familiar rat}}{\text{Total time for exploration}}$	$\frac{\text{Time spent for novel odor}-\text{Time spent for familiar odor}}{\text{Total time for exploration}}$
Total time for exploration	Time spent for novel rat+Time spent for familiar rat	Time spent for novel odor+Time spent for familiar odor

Similarly, postnatal injections also affected the difference coefficient for olfactory behavior during the adolescent stage. Compared to the difference coefficient of the controls ( $n=10$ , Figure 2c), the difference coefficient was significantly decreased by

both injections with saline [ $n=10$ ,  $P=0.039$ ] and with OLZ [ $n=10$ ,  $P=0.026$ ]. Compared to controls ( $n=11$ ), postnatal injections ( $n=11$ ) and OLZ treatment ( $n=10$ ) did not impact the difference coefficient during adulthood (Figure 2d).



**Fig. 2 Interactive effects of mild prenatal stress exposure (MPSE), postnatal injections(at postnatal days 7, 9 and 11, i.p.) and olanzapine (OLZ, 2 mg/kg, i.p.) treatment on the degree of preference in social and olfactory discrimination tests**

The degree of preference was quantified by the difference coefficient. (a) Difference coefficients for social behaviors in adolescent non-stressed and prenatally stressed rats. (b) Difference coefficients for social behaviors in adult non-stressed and prenatally stressed rats. (c) Difference coefficients for olfactory behaviors in adolescent non-stressed and prenatally stressed rats. (d) Difference coefficients for olfactory behaviors in adult non-stressed and prenatally stressed rats. The data represent the means  $\pm$  SEM; Statistics: independent-sample  $t$ -test. \* $P < 0.05$ . □: None; ▒: Saline; ■: OLZ.

## 2.2 OLZ treatment enhanced the total exploratory time in social and olfactory behaviors in the non-stressed group but not in the stressed group

With respect to the total exploratory time (Table 1), at the adolescent stage, postnatal OLZ treatment ( $n=11$ , 2 mg/kg, i.p.) could significantly increase the social exploratory time of the non-stressed rats compared to that of the controls [ $n=8$ ,  $P=0.044$ , Figure 3a]. However, the enhancing effect of OLZ treatment was diminished in the prenatally stressed group; the total exploratory time did not differ between rats treated with OLZ ( $n=11$ ) and control rats ( $n=8$ , Figure 3a). Similarly, in the adult stage, OLZ treatment ( $n=11$ ) also significantly increased the total exploratory time in the non-stressed group compared to the control

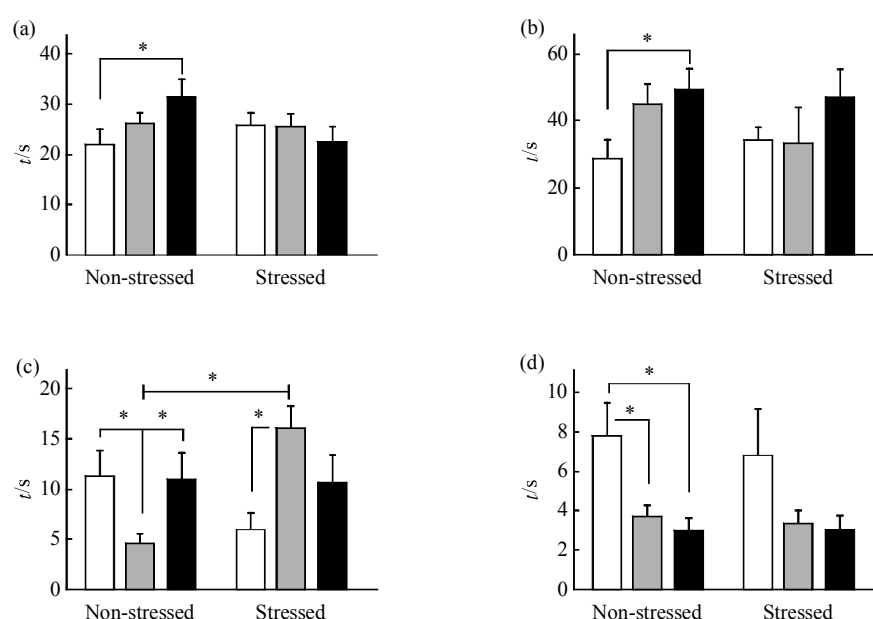
group [ $n=11$ ,  $P=0.025$ ] (Figure 3b). The total exploratory time of the prenatally stressed group was not affected by OLZ treatment ( $n=6$ ) compared to the control group ( $n=10$ ) (Figure 3b).

In the olfactory discrimination test, postnatal injections and OLZ treatment had complicated effects on total exploratory time. During the adolescent stage, postnatal injections ( $n=10$ ) significantly decreased the total exploratory time in the non-stressed group compared to that in the control group [ $n=10$ ,  $P=0.037$ ]. OLZ treatment could reverse the reduction in the effect of the injections: the total exploratory time of rats exposed to OLZ ( $n=9$ ) was significant longer than that of rats exposed to saline [ $n=10$ ,  $P=0.050$ , Figure 3c]. However, in contrast to the non-stressed group, postnatal injections ( $n=10$ ) could significantly increase

the total exploratory time in the prenatally stressed group compared to the control group [ $n=10$ ,  $P=0.004$ ] (Figure 3c). In addition, when comparing the effect of injections between prenatally stressed and non-stressed rats, we found that the injections induced a significantly longer exploratory time in the prenatally stressed group ( $n=10$ ) than in the non-stressed group [ $n=10$ ,  $P=0.001$ , Figure 3c].

The behavioral effects in adult rats were different from those in adolescent rats. Compared to the control

group ( $n=8$ ), both postnatal injections with saline [ $n=8$ ,  $P=0.013$ ] and with OLZ [ $n=10$ ,  $P=0.003$ ] reduced the total exploratory time in the non-stressed group (Figure 3d). In the prenatally stressed group, injections with saline ( $n=11$ ) and with OLZ ( $n=10$ ) also tended to decrease the total exploratory time compared to that of the non-injected group ( $n=9$ ), although these tendencies did not reach statistical significance [ $P=0.093$  and  $P=0.075$ , respectively, Figure 3d].



**Fig. 3 Interactive effects of MPSE, postnatal injections (at postnatal days 7, 9 and 11, i.p.) and OLZ treatment (2 mg/kg, i.p.) on total exploratory time in the social and olfactory discrimination tests**

(a) Total exploratory time for social behaviors in adolescent non-stressed and prenatally stressed rats. (b) Total exploratory time for social behaviors in adult non-stressed and prenatally stressed rats. (c) Total exploratory time for olfactory behaviors in adolescent non-stressed and prenatally stressed rats. (d) Total exploratory time for olfactory behaviors in adult non-stressed and prenatally stressed rats. The data represent the means  $\pm$  SEM; Statistics: independent-sample  $t$ -test. \* $P < 0.05$ . □: None; ■: Saline; ■: OLZ.

### 3 Discussion

The data presented here provide the first demonstration that mild prenatal stress exposure (MPSE) contributes to the behavioral changes induced by repeated postnatal injections in adolescent offspring. MPSE also blocked the enhancing effects of postnatal OLZ treatment on social behaviors in adolescent and adult offspring. The combination of exposure to MPSE and repeated postnatal injections had complicated impacts on the olfactory behaviors of

adolescent and adult offspring. These results indicate that MPSE renders the nervous system more vulnerable to adverse stimuli, such as repeated postnatal injections, and contributes to the inefficacy of OLZ treatments.

Our results provide the first demonstration that adverse stimuli, such as repeated postnatal injections, cannot affect the behaviors of rats without mild prenatal stress exposure. However, repeated postnatal injections can significantly change the behavior of adolescent rats prenatally exposed to mild stress.

These changes cannot be reversed by OLZ treatment. Previous studies also indicate that behavioral and physiological conditions can be changed in rats prenatally exposed to stress when they are confronted with adverse environmental stimuli. For example, mild prenatal stress impaired fear extinction in adult offspring, probably by disrupting neural plasticity in the brain<sup>[14]</sup>. Prenatal stress exposure also leads to vulnerability to subsequent adult stress and to dysregulation of the noradrenergic system and hypothalamic-pituitary-adrenal axis (HPA axis) activity<sup>[15-16]</sup>. Handling has also been shown to significantly reduce corticotropin-releasing hormone levels in rats prenatally exposed to stress compared to controls<sup>[5]</sup>. Combining these results, we can reach the conclusion that prenatal stress exposure may leave the nervous system more vulnerable and instable when confronted with harmful environmental challenges. This may be because the exposure of pregnant females to stressful stimuli can lead to hyperactivity and impair negative feedback regulation of the HPA axis in the offspring<sup>[17-19]</sup>. These physiological changes therefore alter the behavioral responses to environmental stimuli of rats with prenatal stress exposure, including increased immobility in the footshock chamber and hypoactivity in a novel environment<sup>[7]</sup>.

Although it is a common procedure in animal experiments, the potential effects of saline injections have been ignored in the majority of studies. However, our results indicate that saline injections are also capable of having substantial influence on animal behavior, especially the behavior of pups or of animals with a relatively vulnerable nervous system, as induced by MPSE. We suggest that the potential impacts of injection manipulations should be considered in further studies using these types of animals.

In addition, our data reveal that postnatal OLZ treatment improves the social interactions of non-stressed rats during both the adolescent and adult period. This result is consistent with a previous finding that OLZ treatment can enhance the social abilities of both schizophrenia patients and animals<sup>[20-23]</sup>. For example, previous research showed that acute OLZ treatment reduced fear activities and enhanced social interaction in rats<sup>[20]</sup>. In clinical research of schizophrenia, patients administered OLZ showed improvements in social performance and their work abilities<sup>[22-23]</sup>.

Interestingly, MPSE can block the enhancing

effect of OLZ treatment on social behaviors. Previous studies have shown that OLZ treatment in healthy people significantly reduces the levels of adrenocorticotrophic hormone and cortisol, which play important roles in the activity of the HPA axis in the brain<sup>[24]</sup>. As shown by previous studies, prenatal stress exposure has been reported to induce a hyperactive HPA axis, which often presents as an enhanced or prolonged plasma corticosterone level to stressors<sup>[25-26]</sup>. Although there is no explicit conclusion with respect to the mechanism of the negative effects of MPSE on OLZ treatment, we can speculate that the effect may be due to the opposite influences of MPSE and OLZ treatment on the activity of the HPA axis.

Our research is not without limitations. For example, our results show that MPSE elicits complicated effects on olfactory behaviors in non-stressed and stressed rats, which were different from its effects on social behaviors. However, existing data and previous information are not sufficient to explain these phenomena. In addition, the trends in the effects of postnatal injections on the difference coefficients for social and olfactory behaviors were in opposite directions: for the social behaviors, postnatal injections tended to increase the difference coefficient, whereas for the olfactory behaviors, it tended to decrease the difference coefficient. This finding may be partially caused by the natural differences between social and non-social behaviors<sup>[27]</sup>. However, additional evidence is still needed regarding this issue.

In conclusion, our results provide the first demonstration of the adverse impacts of postnatal injections and ineffective OLZ treatments on rats prenatally exposed to mild stress. Our study thus opens up a new area of exploration with respect to the interactive effects between prenatal stress exposure, environmental stimuli and medicinal treatments, which may contribute to research of pathological mental disorders such as schizophrenia.

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# 产前轻微应激易化由围产期注射引起的行为改变并弱化奥氮平的作用<sup>\*</sup>

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**摘要** 产前母体处于应激状态下, 可以削弱子代的神经系统对外界不良刺激影响的抵抗能力. 但产前应激状态是否可以影响抗精神疾病药物对动物行为的增益作用, 目前还没有明确的结论. 此外, 在动物实验中, 动物需要经常接受注射操作, 注射操作本身是否会影响动物行为, 尚未有相关研究. 在本实验中, 探索了产前轻微应激状态、围产期注射操作和抗精神疾病药物对动物行为可能的交互影响. 母鼠在经历产前轻微应激状态后生产子代, 雄性仔鼠在围产期(日龄第 7, 9, 11 天)不接受注射或接受盐水或奥氮平注射(2 mg/kg, 腹腔注射). 在其亚成年期(日龄第 35 天)和成年期(日龄第 60 天), 观察其社交和嗅觉辨识行为, 分析了总探索时间和对新旧刺激的偏好程度两个参数. 我们发现, 围产期重复注射操作可以改变产前应激组大鼠在社交和嗅觉辨识实验中的偏好程度, 对无应激组大鼠没有影响. 奥氮平注射可以增长无应激组大鼠在社交活动中的总探索时间, 对应激组大鼠没有影响. 研究表明, 产前轻微应激状态可以易化诸如围产期注射操作等不良环境刺激导致的行为异常, 并减弱抗精神疾病药物的对神经系统的影响.

**关键词** 产前轻微应激, 围产期注射, 奥氮平, 社会辨识实验, 气味辨识实验

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